JC03 Rec'd PCT/PTO 28 SEP 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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2 1

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)		ATTORNEY'S DOCKET NUMBER 3081.124US01				
		U.S. APPLICATION NO. (If known, sep.37 CFR 1.5)				
CONCERNING A SUBMISSIO		10/551265				
INTERNATIONAL APPLICATION NO. PCT/EP2004/002388	INTERNATIONAL FILING DATE 09 March 2004	PRIORITY DATE CLAIMED 28 March 2003				
TITLE OF INVENTION						
ASSEMBLY FOR ILLUMINATING OBJECTS WIT APPLICANT(S) FOR DO/EO/US	TH LIGHT OF DIFFERENT WAVELENGTH	S				
Peter WESTPHAL, Daniel BUBLITZ						
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	O/US) the following items and other information:				
This is a FIRST submission of items co.	nceming a submission under 35 U.S.C. 371	•				
2. This is a SECOND or SUBSEQUENT s	ubmission of items concerning a submission	n under 35 U.S.C. 371.				
This is an express request to begin nation (5), (6), (9) and (21) indicated below.	onal examination procedures (35 U.S.C. 37	1(f)). The submission must include items				
4. The US has been elected (Article 31).						
5. A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))					
a. is attached hereto (required	a. a is attached hereto (required only if not communicated by the International Bureau).					
b. I has been communicated by	b. In has been communicated by the International Bureau.					
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. An English language translation of the	e International Application as filed (35 U.S.C	C. 371(c)(2)).				
, a. is attached hereto.						
b. has been previously submit	tted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the Inte	emational Application under PCT Article 19 (35 U.S.C. 371(c)(3))				
a. are attached hereto (requi	a. are attached hereto (required only if not communicated by the International Bureau).					
b. have been communicated	b. have been communicated by the International Bureau.					
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.					
d. I have not been made and w						
8. An English language translation of th	ne amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371(c)(3)).				
9. An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).					
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	xamination Report under PCT				
Items 11 to 20 below concern document(s) or information included:					
11. An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.	·				
12. An assignment document for recording	ng. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.				
13. A preliminary amendment.						
14. An Application Data Sheet under 37 (CFR 1.76.					
15. A substitute specification.						
16. A power of attorney and/or change of	address letter.					
17. A computer-readable form of the sequ	uence listing in accordance with PCT Rule 1	3ter.2 and 37 CFR 1.821- 1.825.				
18. A second copy of the published Interr	national Application under 35 U.S.C. 154(d)	(4).				
19. A second copy of the English language	ge translation of the international application	under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC-1390 (Rev. 07-2005)
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER				
10/551265 PCT/EP2004/002388				3081.124US01		
20. Other items or information:						
			VO 2004/086117 A1; copies of			Report for
application no.	PCT/EP2004/002	388 and German	Search Report for application	no. 103 14 125.1; Re	turn Postcard.	
	owing fees have b				S CALCULATIONS	PTO USE ONLY
21. 🔽 Basi	c national fee (37	CFR 1.492(a))		\$300	300.00	
22. 🔽 Exan	nination fee (37 CF	R 1.492(c))				
			national preliminary examinations of PCT Article 33(1)-(4)		\$ 200.00	
			Sions of PCT Afficie 33(1)-(4)			
23. 🗹 Sea	rch fee (37 CFR 1.	492(b))				
			al preliminary examination reponse of PCT Article 33(1)-(4)		400.00	
Search fee (37 C	FR 1.445(a)(2)) ha	as been paid on th	he international application to t	he USPTO as an	\$	
International Sea	onal Searching Au Irch Report prepar	ed by an ISA othe	er than the US and provided to	the Office or		
					\$900.00	
Additional fe		and drawings file	d in paper over 100 sheets (ex		ψ300.00	
sequenc	e listing in complia c medium) (37 CF		1.821(c) or (e) or computer pro	ogram listing in an		
			of paper or fraction thereof.			
Total Sheets	Extra Sheets		additional 50 or fraction	RATE		
		thereof (round)	up to a whole number)			
12 - 100 =	0 /50 =	-		x \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			oath or declaration	\$		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	16	- 20 =	o	x \$ 50	\$ 0.00	
Independent clai	ms 1	- 3 =	0	x \$200	\$ 0.00	
MULTIPLE DEPI	ENDENT CLAIM(S	i) (if applicable)		+ \$360	\$	
			TOTAL OF ABOVE		\$ 900.00	
Applicant cla	aims small entity st	atus. See 37 CFF	R 1.27. Fees above are reduce	ed by 1/2.		
				SUBTOTAL =	\$ 900.00	
	of \$130.00 for furnis late (37 CFR 1.492		translation later than 30 month	s from the earliest	\$	
TOTAL NATIONAL FEE =		\$ 900.00				
_	,	•	1.21(h)). The assignment mus	t be accompanied +	\$	
TOTAL FEES ENCLOSED =		\$ 900.00				
					Amount to be refunded:	\$
					Amount to be charged	\$

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a. 🔽		r the above fees is enclosed.					
ь. ш	Please charge my Deposit Account No in the an A duplicate copy of this sheet is enclosed.	nount of \$ to cover the above fees.					
c. 🗹	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0631						
d. 🗖	Fees are to be charged to a credit card. WARNING: Information be included on this form. Provide credit card information and	on this form may become public. Credit card information should not authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
Douglas Patterse 4800 ID 80 Sout	LL CORRESPONDENCE TO: s J. CHRISTENSEN on, Thuente, Skaar & Christensen, P.A. iS Center th 8th Street polis, Minnesota 55402	SIGNATURE Douglas J. Christensen NAME 35,480 REGISTRATION NUMBER					
		•					

10/551265

JC12 Rec'd PCT/FTC 28 SEP 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PCT RECEIVING OFFICE (RO/US)

In re the application of:

Attorney Docket No.: 3081.124US01

Peter Westphal et al.

Application No.:

Unknown

Filed:

Of Even Date

For:

ASSEMBLY FOR ILLUMINATING OBJECTS WITH LIGHT OF DIFFERENT

WAVELENGTHS

SUBMISSION OF DOCUMENTS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are the following documents:

- 1. Certificate of Express Mailing;
- 2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (3 pgs.);
- 3. English translation of patent application with certification (11 pgs.);
- 4. Copy of front page of PCT Publication No. WO 2004/086117 A1 (2 pgs.);
- 5. Declaration and Power of Attorney for Patent Application (3 pgs.);
- 6. Preliminary Amendment (8 pgs.);
- 7. Information Disclosure Statement (2 pgs.), PTO Form 1449 (1 pg.) and copies of 5 cited references;
- 8. Copy of International Search Report (4 pgs.) and German Search Report (2 pgs.);
- 9. Check in the amount of \$900.00; and
- 10. Return Postcard.

Respectfully submitted,

Douglas J. Christensen Registration No. 35,480

Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center, 80 South Eighth Street Minneapolis, Minnesota 55402-2100 (612) 349-3001

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV616920025US. Date of Deposit: September 28, 2005. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mai Lee Vang Name of Person Making Deposit

Signature

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MERRILL BRINK INTERNATIONAL Language Solutions

Julie Erickson Patterson, Thuente, Skaar & Christensen 4800 IDS Center 80 South 8th Street Minneapolis, MN 55402

Dear Ms Thorndike,

Enclosed please find the completed translation from German to English of the patent application, "Arrangement for illuminating objects with light or different wavelengths", as well as the corresponding Letters of Certification. Please do not hesitate to contact me with any questions or concerns.

Sincerely Yours,

Bridget Freed Project Manager Merrill Brink International 6100 Golden Valley Road Minneapolis, MN 55422 763-582-0104 10/551265
MERRILL BRINK
INTERNATIONAL
JC12 Rec'd PCT/FTC 28 SEP 2005

State of Minnesota)	
)	ss:
County of Hennepin)	

Certificate of Accuracy

This is to certify that the attached patent application titled, "Arrangement for illuminating objects with light or different wavelengths", originally written in German is, to the best of our knowledge and belief, a true, accurate and complete translation into English.

Dated: July 26, 2005

Bridget Freed Project Manager

Merrill Brink International

Sworn to and signed before

Me this <u>Sloth</u> day of , 2005

Notary Public

